ed Stat s Pat nt and Trademark Office OG Notic s: 05 August 2003

Information Disclosure Statements
May Be Filed Without Copies of
U.S. Patents and Published Applications in
Patent Applications filed after June 30, 2003

Background

The U.S. Patent and Trademark Office (USPTO or Office) regulations concerning Information Disclosure Statements (IDSs) currently require that copies of the cited references be submitted with the IDS listing. See 37 CFR 1.98 (a)(2). In a prior notice in the Official Gazette this requirement was partially waived with respect to U.S. patents and U.S. patent application publications when an applicant submitted an IDS using the Office's electronic filing system (as an electronic IDS, eIDS). See Legal Framework for the Use of the Electronic Filing System, 1263 Off. Gaz. Pat. Off. 60, 10/8/2002, Part V.

All U.S. applications1 filed after June 30, 2003 are stored in electronic form in the Office's Image File Wrapper (IFW) system.2 IDSs submitted for these electronic applications are processed by Office staff to create an electronic link which permits cited U.S. patents and U.S. patent application publications to be conveniently viewed by examiners through the Office's patent search system. This feature enables the Office to avoid scanning these documents into IFW, obviating the need for their submission.

Waiver

The Office hereby waives the requirement under 37 CFR 1.98 (a) (2) (i) for submitting a copy of each cited U.S. patent and each U.S. patent application publication for all U.S. national patent applications filed after June 30, 2003 and for all international applications that have entered the national stage under 35 USC 371 after June 30, 2003. See 37 CFR 1.491(b). For all patent applications filed on or before June 30, 2003, copies of cited U.S. patents and patent application publications are still required unless an eIDS is filed.

Applicants are still required to submit copies of foreign patent documents and non-patent literature in accordance with 37 CFR 1.98(a)(2).

FOR FURTHER INFORMATION CONTACT:

Questions concerning this waiver may be submitted to Jay Lucas by e-mail at Jay.Lucas@uspto.gov or by telephone at (703) 308-6868. Comments may also be submitted by mail addressed to: Commissioner for Patents, Box Comments - Patents, Post Office Box 1450, Alexandria, VA 22313-1450, or by facsimile to (703) 305-2919, marked to the attention of Jay Lucas.

STEPHEN G. KUNIN Deputy Commissioner for Patent Examination Policy

- 1 Except in special situations, such as in applications under secrecy order or containing national security markings.
- 2 See Notification of United States Patent and Trademark Office Patent Application Records being Stored and Processed in Electronic Form, 1271 Off. Gaz. Pat. Off. 100, 6/17 2003.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):

Small et al.

Assignee:

EKC Technology, Inc.

Title:

Compositions for Chemical-Mechanical Polishing and Method of Using

Same

Serial No.:

10/619,901

Filed:

July 14, 2003

Examiner:

Unknown

Group Art Unit:

Unknown

Docket No.:

CHEM.006US0

Certificate of Mailing Under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States

Postal Service with sufficient postage as first class mail in an envelope address to:

Commissioner for Patents, Washington, D.C. 20231, on 9/4/0

Signature

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(b)

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, § 1.97 and § 1.98, the documents listed on the accompanying form PTO-1449 are called to the attention of the Examiner for the above patent application. Copies of certain documents are not enclosed and certain other documents are enclosed pursuant to the USPTO OG Notice of August 5, 2003, a copy of which is enclosed. The Examiner is invited to call the undersigned to request a copy of any document not provided herewith.

Listing of these documents shall not be construed as:

1. an admission that the documents are necessarily prior art with respect to the instant invention;

- 2. a representation that a search has been made; or
- 3. an admission that the information listed herein is, or is considered to be, material to patentability as defined in § 1.56(b).

Respectfully submitted,

K. Alison de Runtz Attorney of Record Reg. No. 37,119

PARSONS HSUE & DE RUNTZ LLP 655 Montgomery Street, Suite 1800 San Francisco, CA 94111 (415) 318-1160 (415) 693-0194 (Fax)

| U.S. Depart | ment of | Commerce, Patent a | nd Trademark | Atty. Docket No. | | | Application No. | | |
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| se several sheets if necessary) | | | | Filing Date | | | Group | | |
| 30 | F | | | July 14, 2003 | | | Unknown | | |
| CATENT & TO | | | U.S. P | atent Documents | | | | | |
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| | 1 | Number 4,655,957 | Date 4/7/87 | Chromecek et al. | Class | Subclass | п Аррго | рпас | |
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| | 8 | EP 0 393 510 A1 | 10/24/90 | EPO | Chass | Buotiass | 1 2 2 2 | + | |
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| | 10 | EP 1 020 501 A2 | 7/19/00 | EPO | | | | | |
| | 11 | EP 1 036 836 A1 | 9/20/00 | EPO | | | | _ | |
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| | 12 | "Acrylic Ultra-Fi | ne Powder – MF | Series," Esprit Chemica | al Company o | f Sarasota, Flor | rıda. | | |
| | 13 | "Monodispersed Grains for Photographic Films - 'SF Series'," Esprit Chemical Company of Sarasota, Florida. | | | | | | | |
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| Examiner | 1 | | Date Consider | ed | | | | | |
| *EXAMINER | : Initial | if reference conside | red, whether or r | not citation is in conform | ance with MP | PEP 609; Draw | line through | 1 | |
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